

03-28-01

A

Box Sequence

Attorney Docket No.: C1039/7048 (AWS)

03/27/01
J1059 U.S. PTO**UTILITY
PATENT APPLICATION
TRANSMITTAL***(Only for new nonprovisional applications
under 37 CFR 1.53(b))*

Attorney Docket No.

C1039/7048 (AWS)

First Named Inventor or Application Identifier

Krieg et al.

Express Mail Label No.

EL711251967US

Date of Deposit

March 27, 2001

APPLICATION ELEMENTS*See MPEP chapter 600 concerning utility patent application contents*

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
☐ Statement filed in prior application, status
still proper and desired.
3. ☒ Specification -Total pages 56
52 - pages description
1 - pages abstract
18- pages claims 18 - Total claims
4. ☒ Drawing(s) (35 USC 113) 19 - Total sheets
☐ Informal ☒ Formal 15 - Total drawings
5. ☒ Oath or Declaration 12 - Total pages
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
[Note Box 6 below]
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Incorporation by Reference
(usable if Box 5b is checked)
The entire disclosure of the prior application,
from which a copy of the oath or declaration is
supplied under Box 5b, is considered as being
part of the disclosure of the accompanying
application and is hereby incorporated by
reference therein. See 18.
7. ☐ Application Data Sheet, See 37 CFR 1.76

ADDRESS

TO:

Box Patent Application
Commissioner for Patents
Washington, DC 20231

8. ☐ CD-ROM or CD-R, in duplicate, large table or
Computer Program (Appendix)
9. ☒ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
a. ☒ Computer Readable Form (CRF)
b. ☒ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☒ paper (identical to computer copy)
c. ☒ Statement verifying identity of above

ACCOMPANYING APPLICATION PARTS

10. ☐ Assignment Papers/cover sheet &
documents(s)
11. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
☐ Power of Attorney
12. ☐ English Translation of Document (if applicable)
13. ☐ Information Disclosure Statement/PTO-1449
☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
16. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
17. ☐ Other: e.g., Verified Statement of Small Entity
Status; Request and Certification Under 35
U.S.C. §122(b)(2)(B)(ii)

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **08/738,652**, filed on October 30, 1996, which is a continuation-in-part of U.S. Patent Application serial number **08/386,063**, filed February 7, 1995, now issued as U.S. Patent No. 6,194,388, which is a continuation-in-part of U.S. Patent Application serial number **08/276,358**, filed July 15, 1994, now abandoned.

Prior application information: **Examiner J. Martinell** **Group Art Unit: 1633**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



23628

OR

20. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Alan W. Steele, Reg. No. 45,128
SIGNATURE	
DATE	March 27, 2001

Inventor or Identifier: Krieg et al.

Serial No: Not yet assigned

Filed: Herewith

For: IMMUNOSTIMULATORY NUCLEIC ACID MOLECULES

CHECK BOX, if applicable:

☐ DUPLICATE

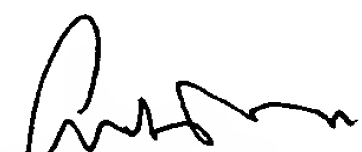
Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	18-20=	0 x	\$18	= \$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	1-3=	0 x	\$80	= \$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$260	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Total of above Calculations =				\$ 710.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$
	TOTAL =				\$ 710.00

1. A check in the amount of \$ 710.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 or ☒ 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.


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 Attorneys of Record

Docket No. C1039/7048 (AWS)
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